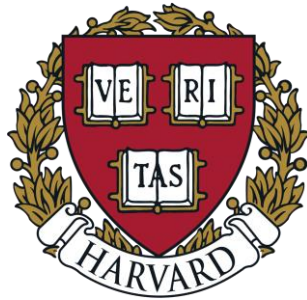


Verifying Data Attributions Without Breaking the Bank

Martin Pawelczyk

Harvard University



Efficiently Verifiable Proofs of Data Attribution

Ari Karchmer*

Martin Pawelczyk[†]

Seth Neel[‡]

Abstract

Data attribution methods aim to answer useful counterfactual questions like "what would a ML model's prediction be if it were trained on a different dataset?" However, estimation of data attribution models through techniques like empirical influence or "datamodeling" remains very computationally expensive. This causes a critical trust issue: if only a few computationally rich parties can obtain data

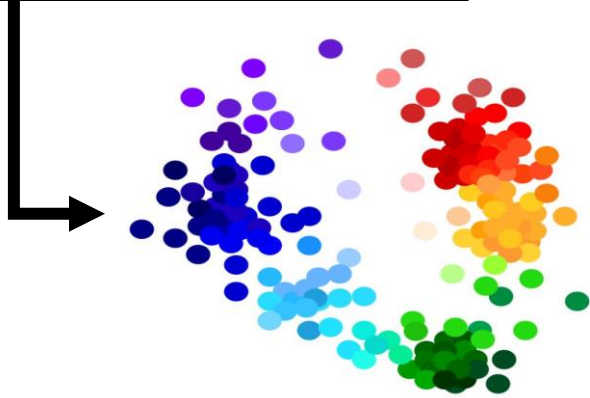
Motivation

- Data is one of the most fundamental building blocks of AI
- There are surprisingly many open problems



**Massive Scraped
Internet Dataset**

n_{Total}



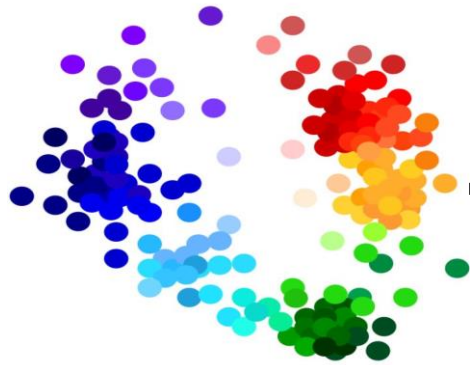
**Massive Scraped
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High Quality Data Sources

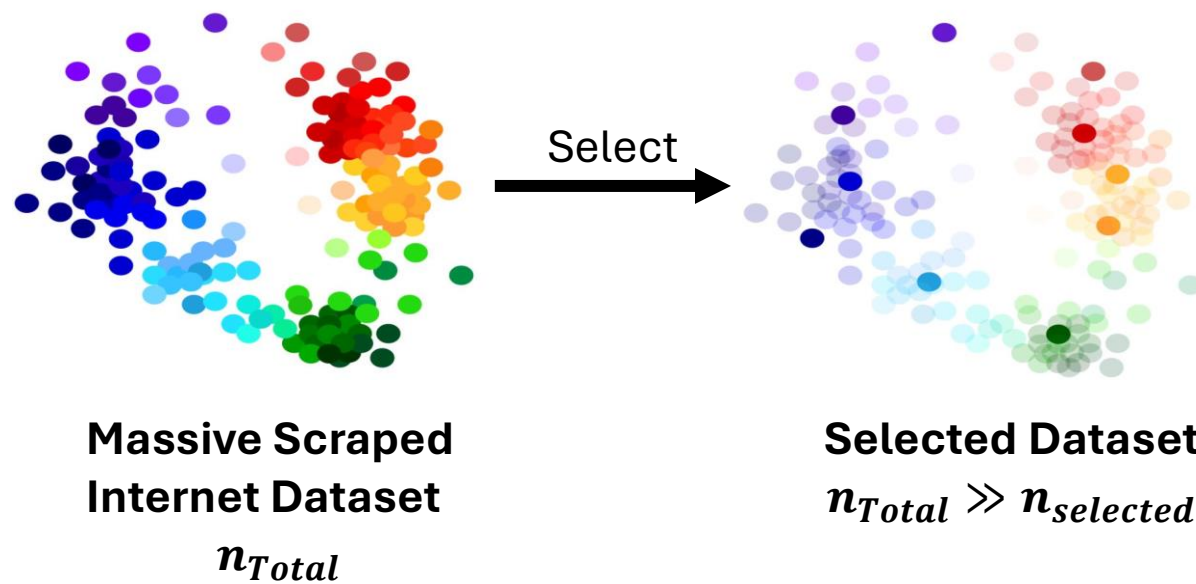


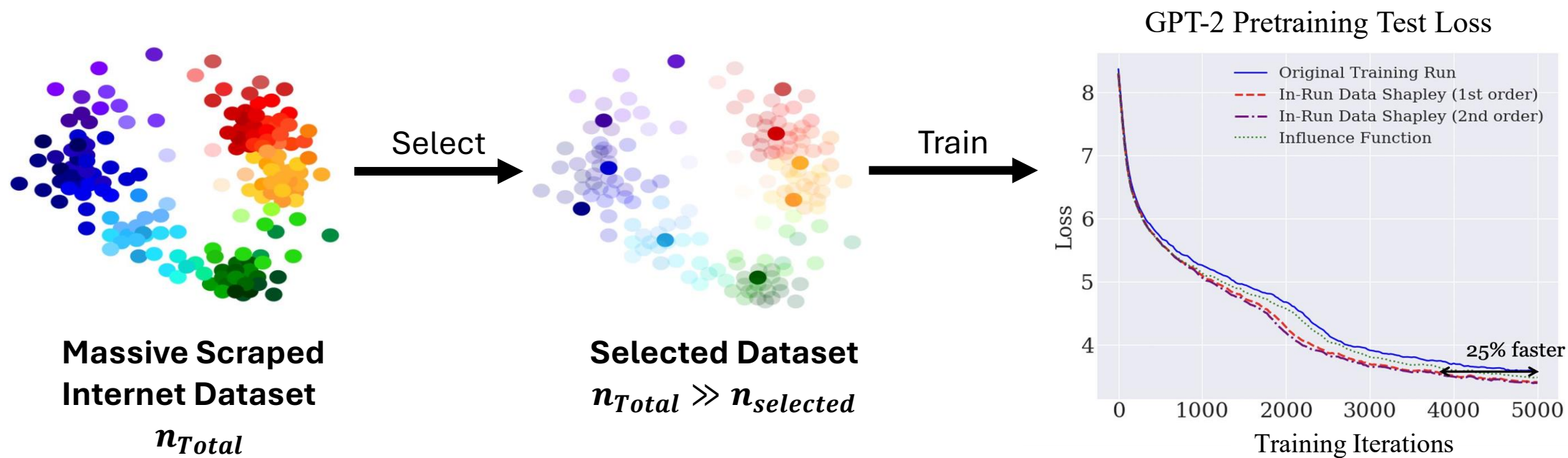
Low Quality Data Samples



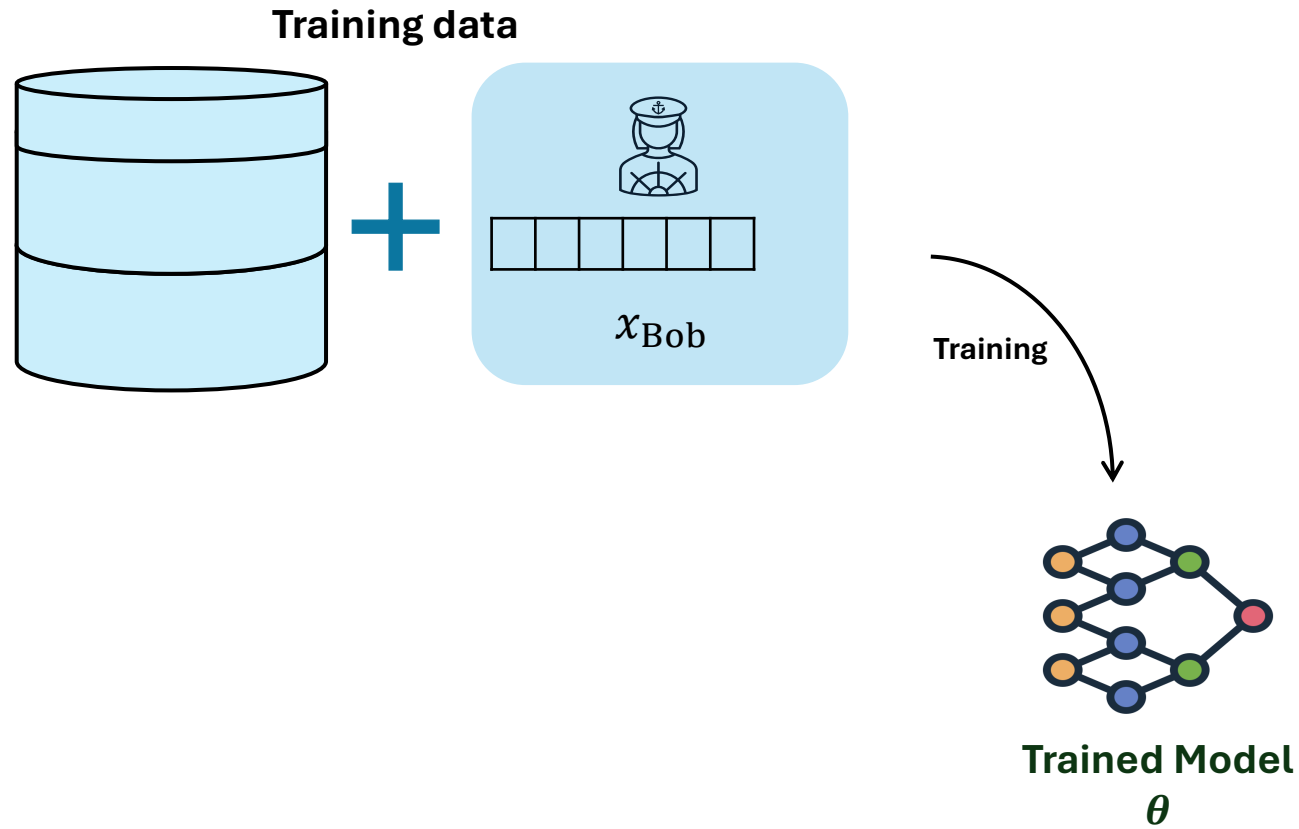
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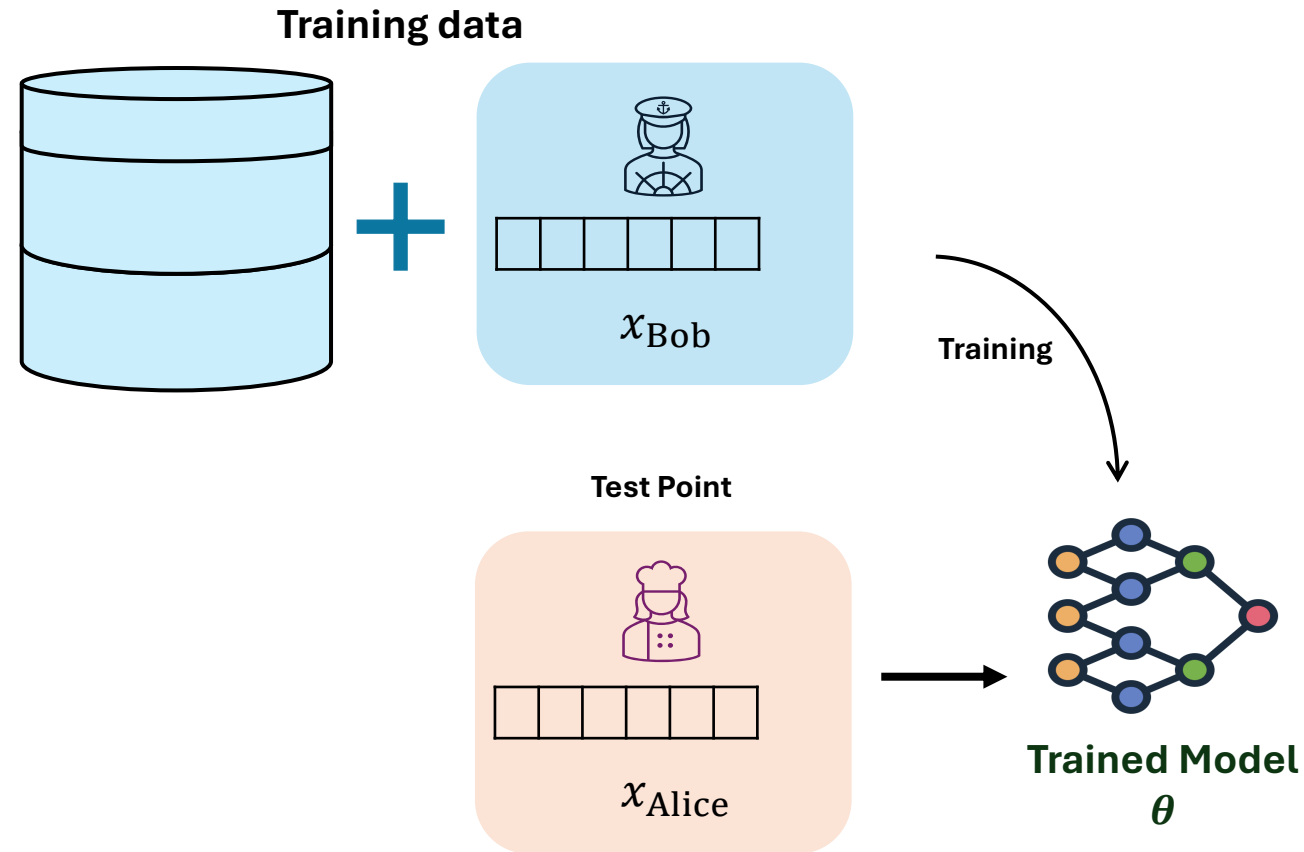




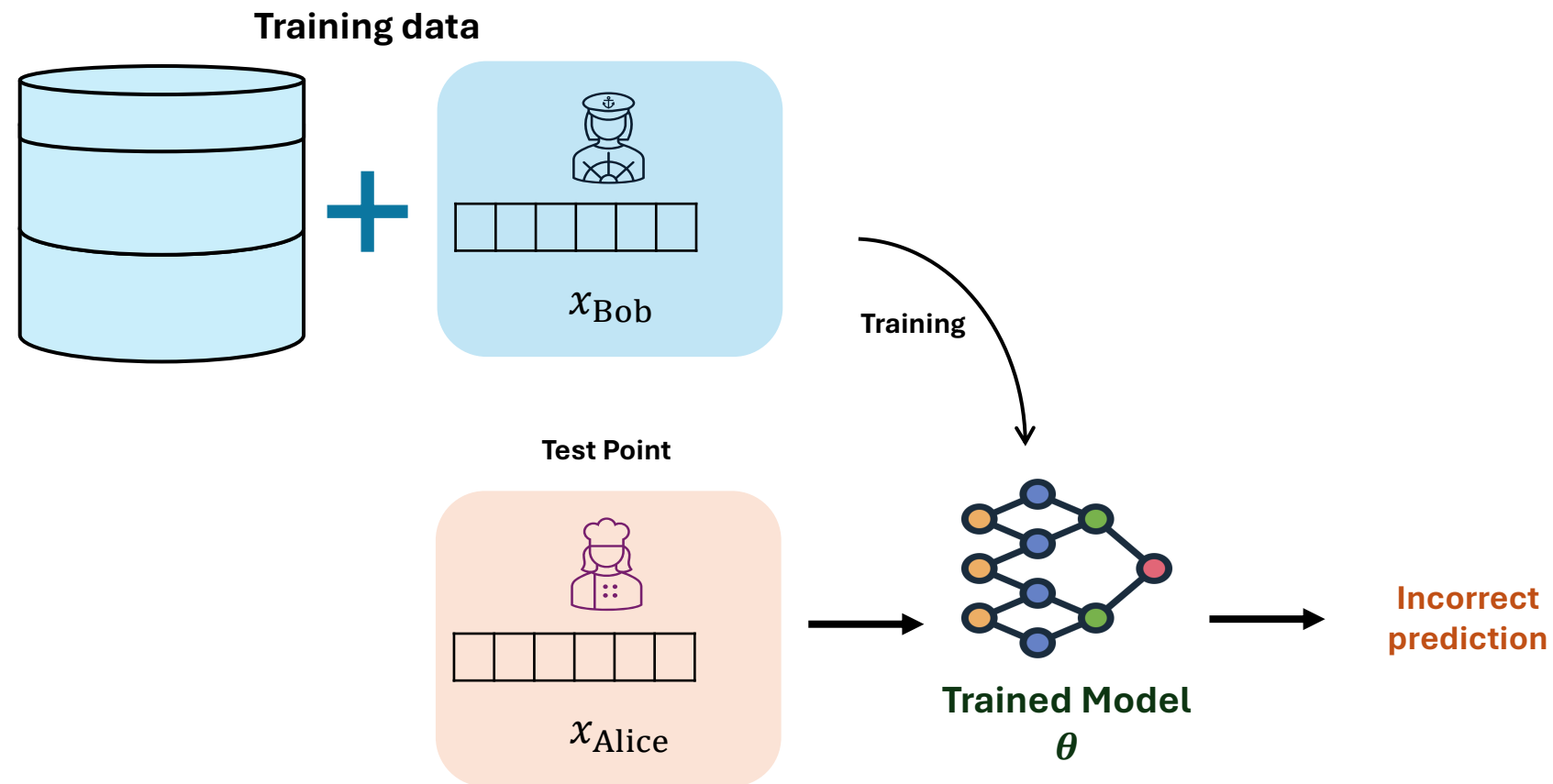
Data Attributions: The Mechanism



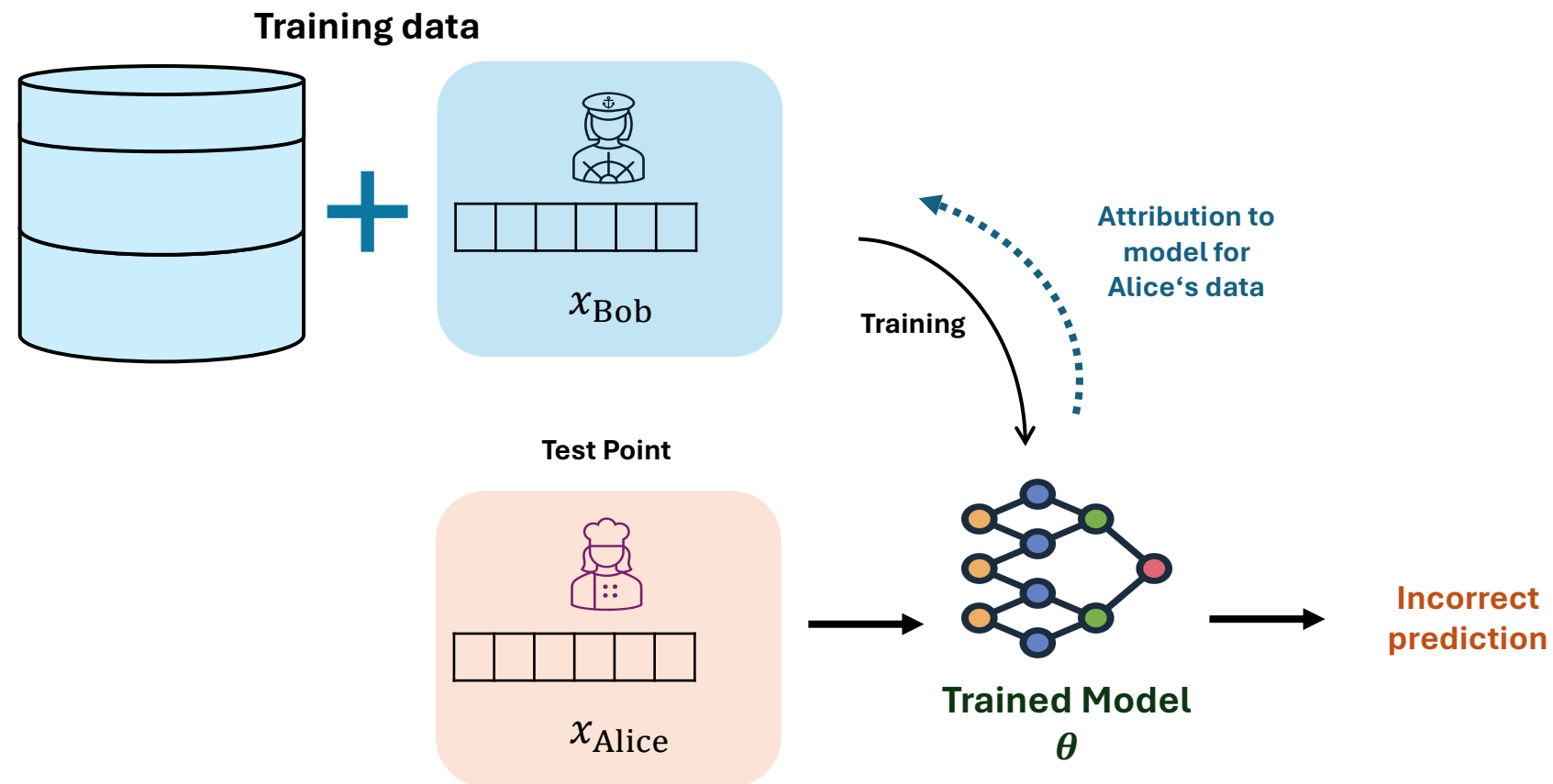
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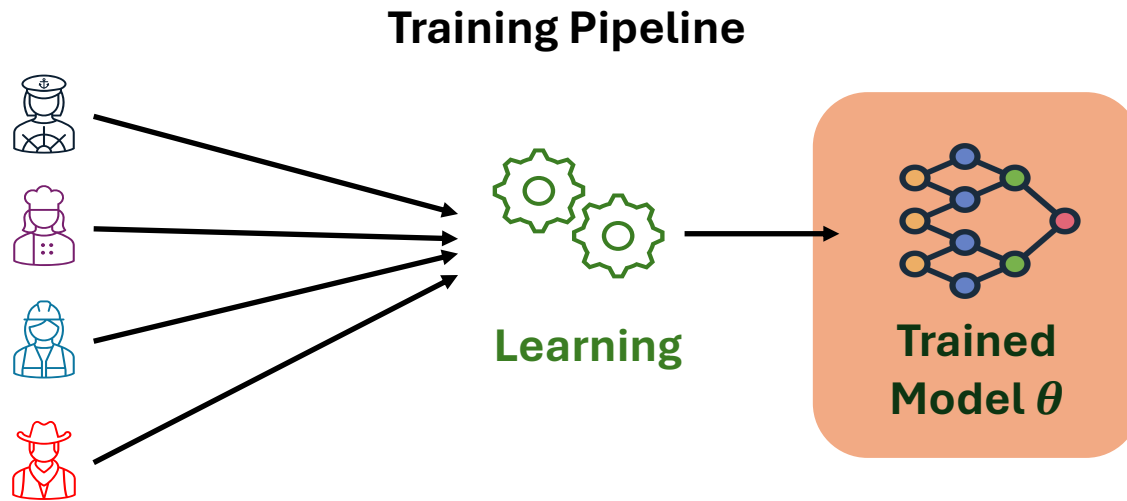
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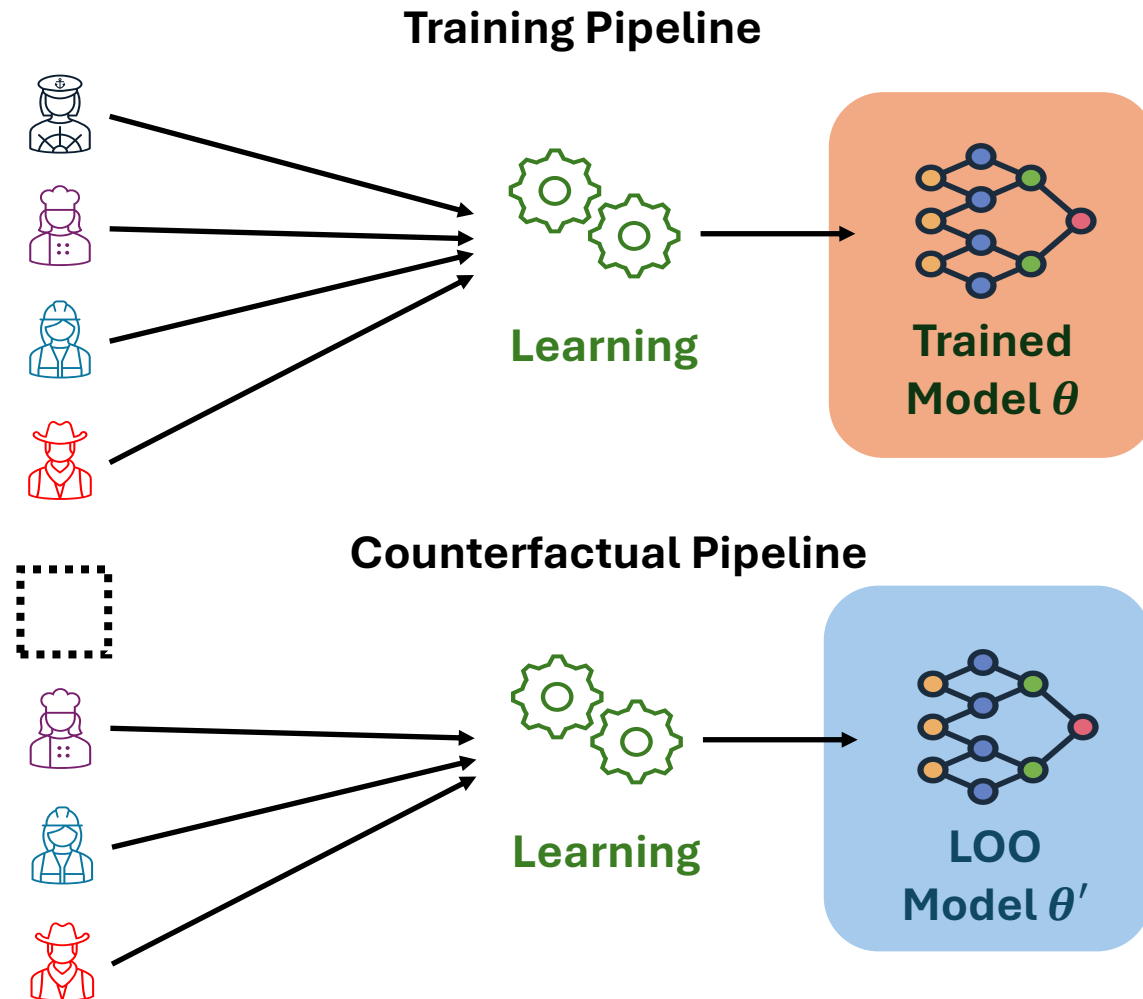
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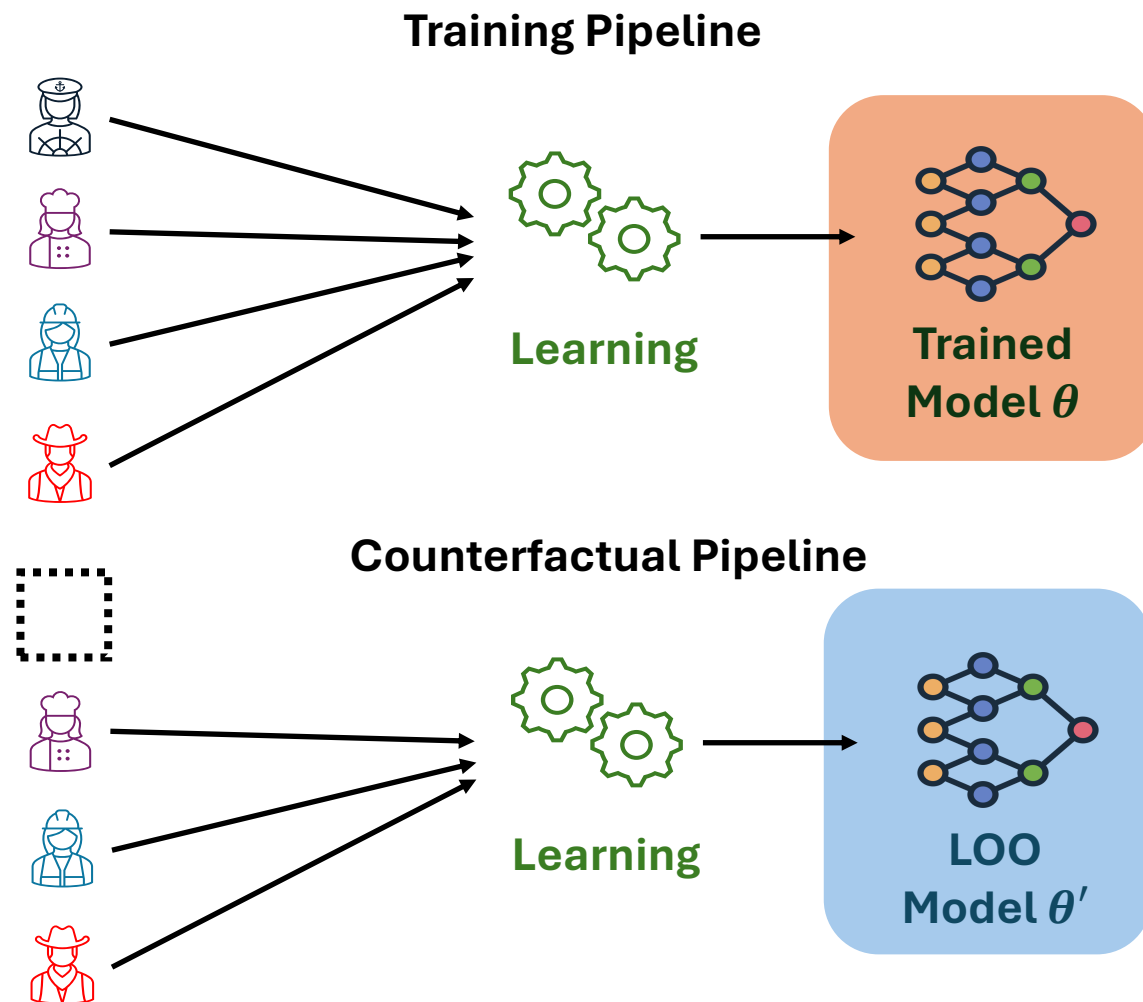
Leave-One-Out Data Attributions Hampel (1974)




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Leave-One-Out Data Attributions Hampel (1974)

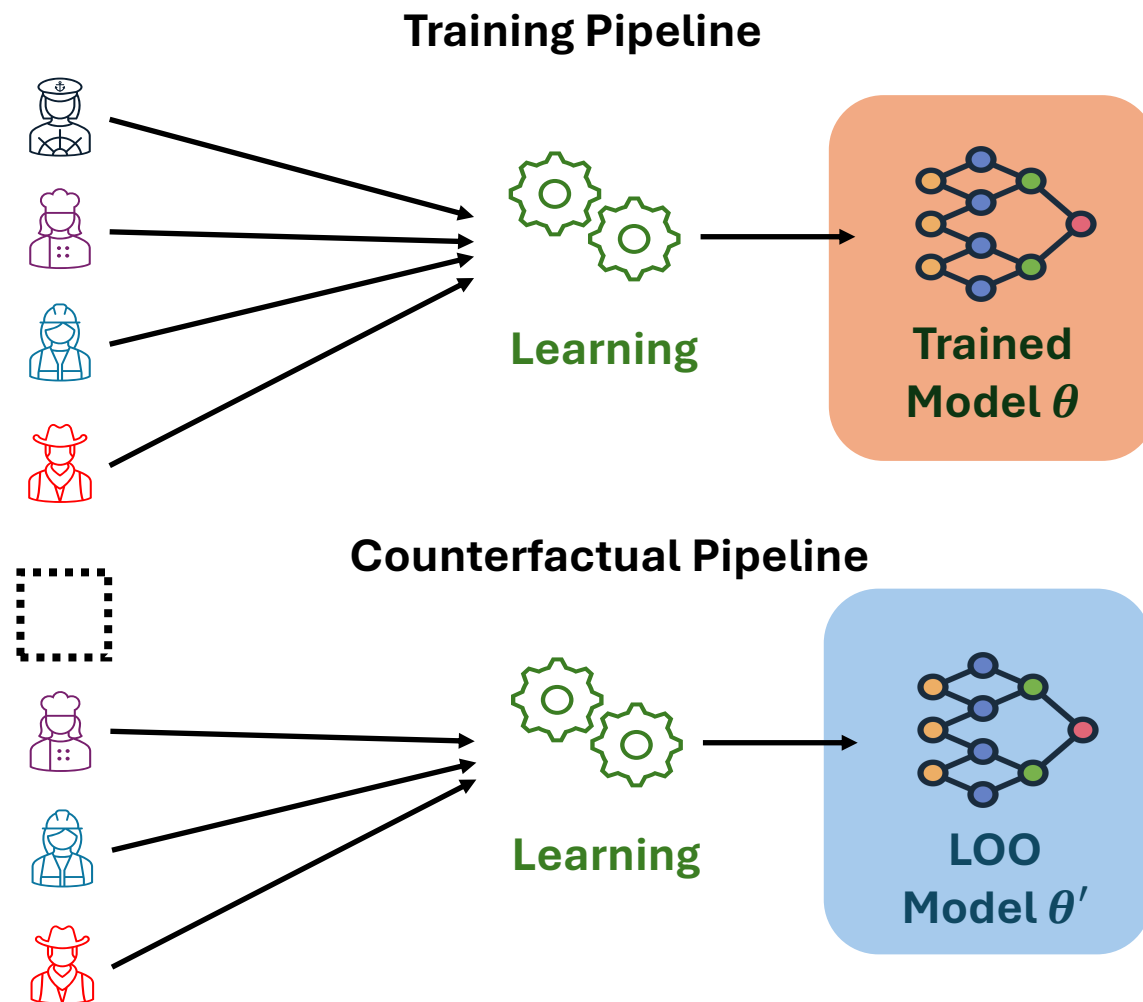


Exact Training Point Attribution


Importance of Bob's  Data on test point prediction:

$$a_i = \theta(x_{test}) - \theta'(x_{test})$$

Leave-One-Out Data Attributions Hampel (1974)

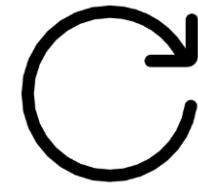


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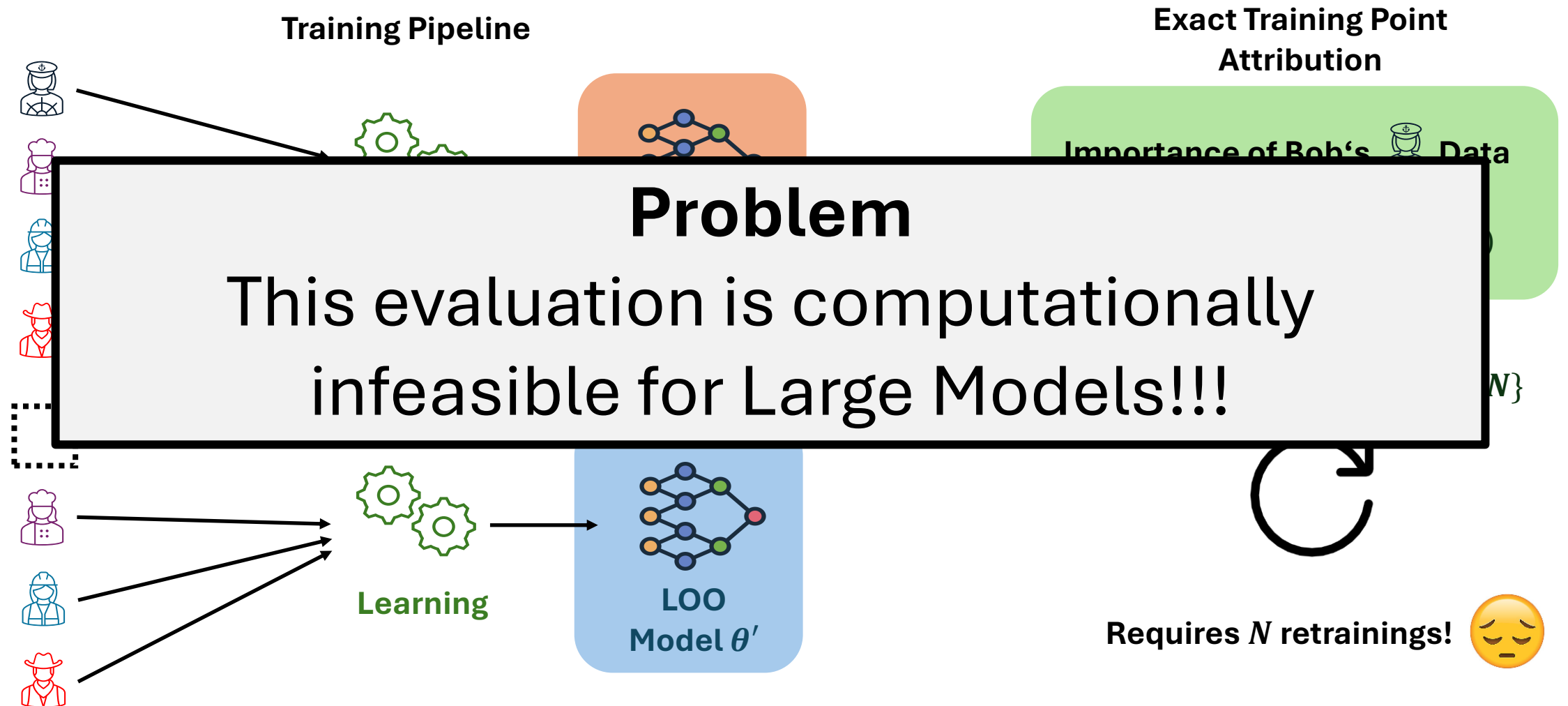
Compute a_i for all $i \in \{1, \dots, N\}$



Requires N retrainings!



Leave-One-Out Data Attributions Hampel (1974)



Empirical Influence Functions

Feldman & Zhang (2020)

(i.e., Monte Carlo Estimator)

Goal:

Approximate
Training Point Attributions
without training N models

Empirical Influence Functions

Feldman & Zhang (2020)

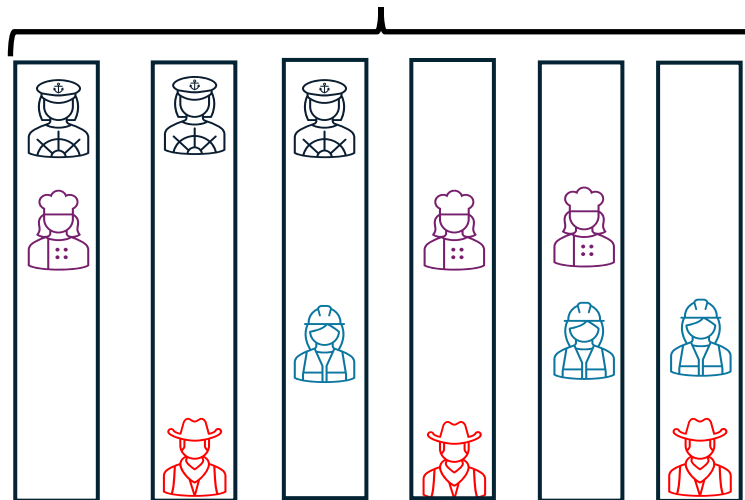
(i.e., Monte Carlo Estimator)

Data Set



~
Subsample
Data Set

$K \ll N$ Subsets



Goal:

Approximate
Training Point Attributions
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Empirical Influence Functions

Feldman & Zhang (2020)

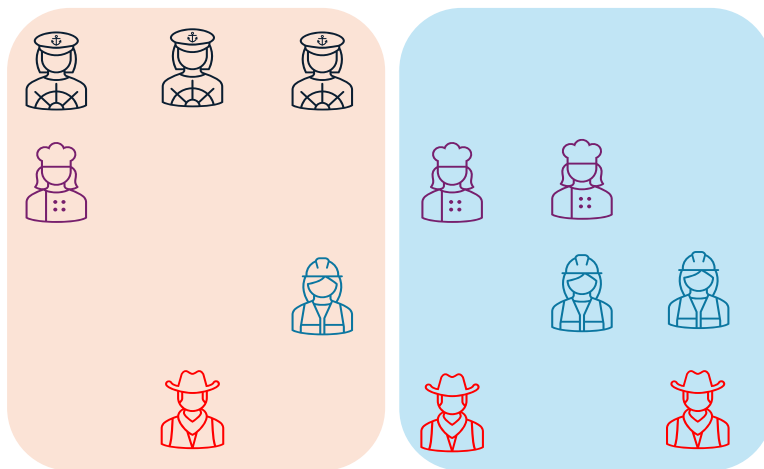
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Subsample
Data Set



Empirical Influence Functions

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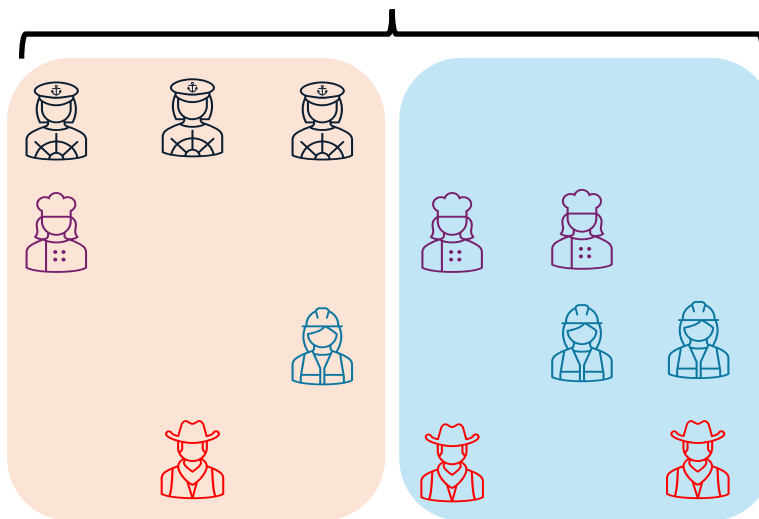
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Data Set



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Subsample
Data Set

Train $K \ll N$ Models



Empirical Influence Functions

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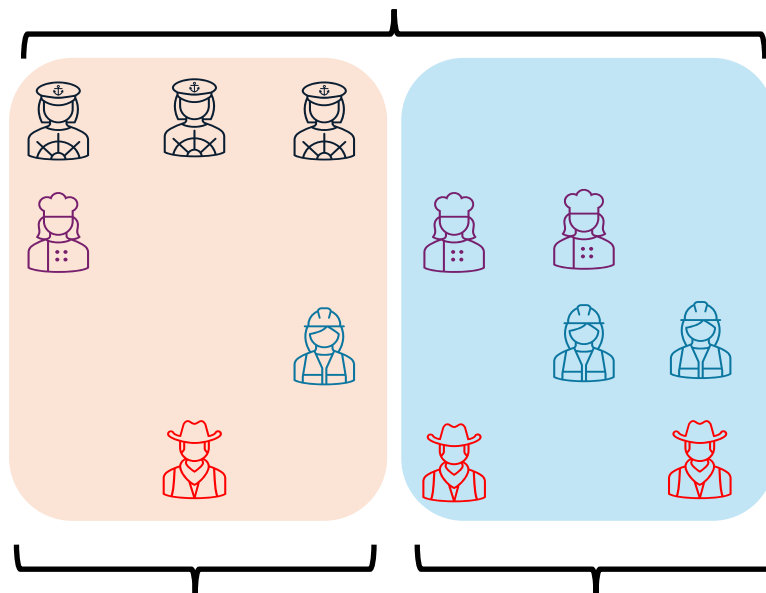
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Data Set



~
Subsample
Data Set

Train $K \ll N$ Models



Part of
 $\approx K/2$
models



Never part
of $\approx K/2$
models

Empirical Influence Functions

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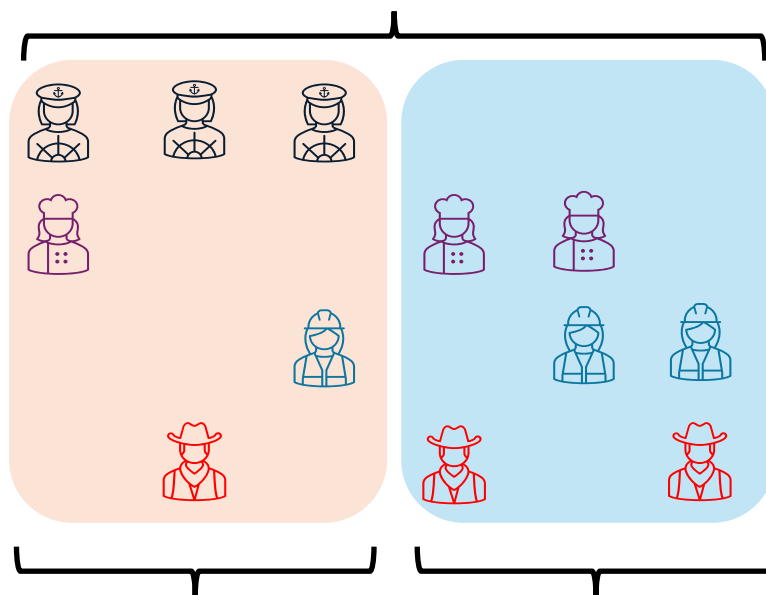
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Importance of Bob's  Data
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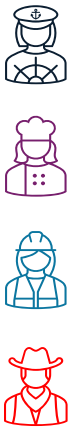
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Empirical Influence Functions

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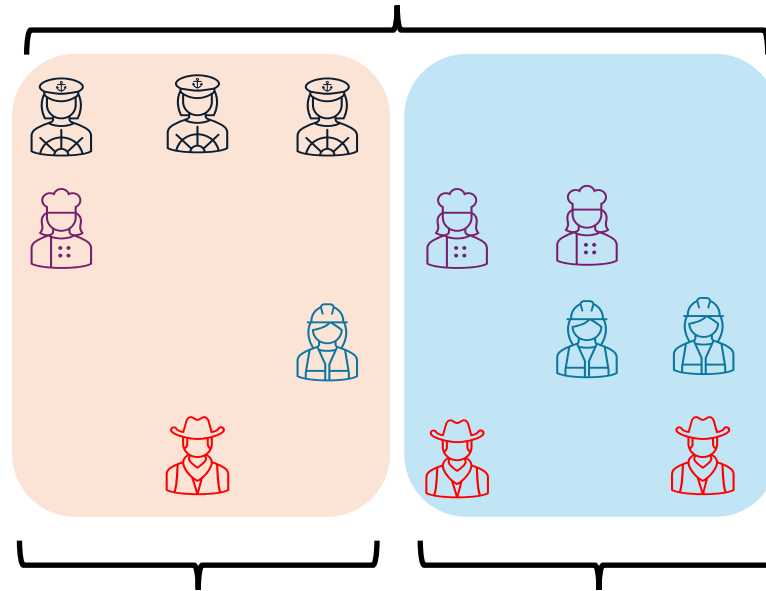
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Data Set




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Subsample
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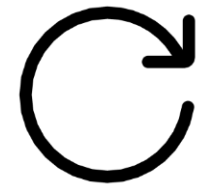
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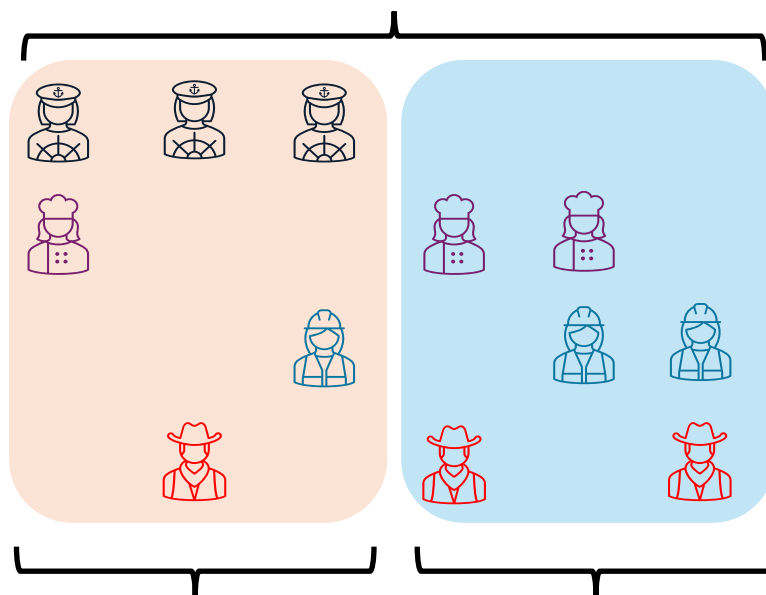
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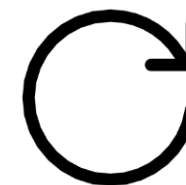
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Only requires K retrainings!

What Neural Networks Memorize and Why: Discovering the Long Tail via Influence Estimation

Vitaly Feldman^{* †}
Apple

Chiyuan Zhang^{*}
Google Research, Brain Team

Abstract

Deep learning algorithms are well-known to have a propensity for fitting the training data very well and often fit even outliers and mislabeled data points. Such fitting requires memorization of training data labels, a phenomenon that has attracted significant research interest but has not been given a compelling explanation so far. A recent work of Feldman [Fel19] proposes a theoretical explanation for this phenomenon based on a combination of two insights. First, natural image and data distributions are (informally) known to be long-tailed, that is have a significant fraction of rare and atypical examples. Second, in a simple theoretical model such memorization is necessary for achieving close-to-optimal generalization error when the data distribution is long-tailed. However, no direct empirical evidence for this explanation or even an approach for obtaining such evidence were given.

In this work we design experiments to test the key ideas in this theory. The experiments require estimation of the influence of each training example on the accuracy at each test example as well as memorization values of training examples. Estimating these quantities directly is computationally prohibitive but we show that closely-related *subsampling* influence and memorization values can be estimated much more efficiently. Our experiments demonstrate the significant benefits of memorization for generalization on several standard benchmarks. They also provide quantitative and visually compelling evidence for the theory put forth in [Fel19].

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Train 4K ResNet50
models & release
attribution scores.

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Datamodels: Predicting Predictions from Training Data

Andrew Ilyas^{*1} Sung Min Park^{*1} Logan Engstrom^{*1} Guillaume Leclerc¹ Aleksander Mądry¹

Abstract

We present a conceptual framework, *datamodeling*, for analyzing the behavior of a model class in terms of the training data. For any fixed “target” example x , training set S , and learning algorithm, a *datamodel* is a parameterized function $2^S \rightarrow \mathbb{R}$ that for any subset of $S' \subset S$ —using only information about which examples of S are contained in S' —predicts the outcome of training a model on S' and evaluating on x . Despite the complexity of the underlying process that is being approximated (e.g. end-to-end training and evaluation of deep neural networks), we show that even simple *linear* datamodels successfully predict model outputs. We then demonstrate that datamodels give rise to a variety of applications, such as: accurately predicting the effect of dataset counterfactuals; identifying brittle predictions; finding semantically similar examples; quantifying train-test leakage; and embedding data into a well-behaved and feature-rich *representation space*.

puts a trained model. This learning algorithm need not be deterministic—for example, \mathcal{A} might encode the process of training a neural network from random initialization.

Now, consider a *fixed* target example x and define

$$f_{\mathcal{A}}(x; S) := \text{the outcome of training a model on } S \text{ using } \mathcal{A}, \text{ and evaluating it on the input } x, \quad (1)$$

where we leave “outcome” intentionally broad to capture a variety of settings that one might care about. For example, $f_{\mathcal{A}}(x; S)$ may be the cross-entropy loss of a classifier on x , or the error of a regression model on x . The potential stochasticity of \mathcal{A} means $f_{\mathcal{A}}(x; S)$ is a random variable.

Goal. Broadly, we aim to understand how the training examples in S combine through the learning algorithm \mathcal{A} to yield $f_{\mathcal{A}}(x; S)$ (again, for the *specific* example x that we are examining). Towards this goal, we will leverage a classic technique for studying complex black-box functions: *surrogate modeling* (Sacks et al., 1989). In surrogate modeling, one replaces complex functions with inexact but significantly easier-to-analyze approximations, then uses the

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Train 1.5M ResNet9
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Fundamental Insight

$$f \approx a^\top x$$

where $f: \{0,1\}^N \rightarrow \mathbb{R}$

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Andre

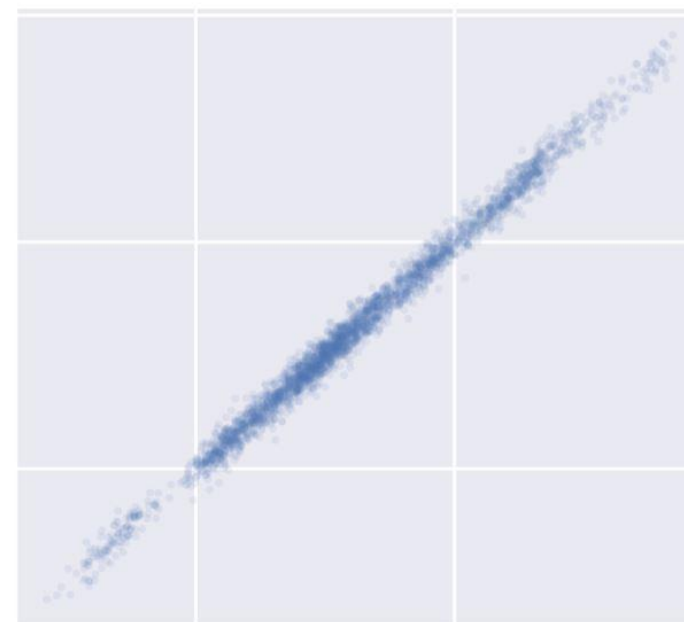
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True model output

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Predicted model output

r Mądry¹

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code the process of
initialization.

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the input x , (1)

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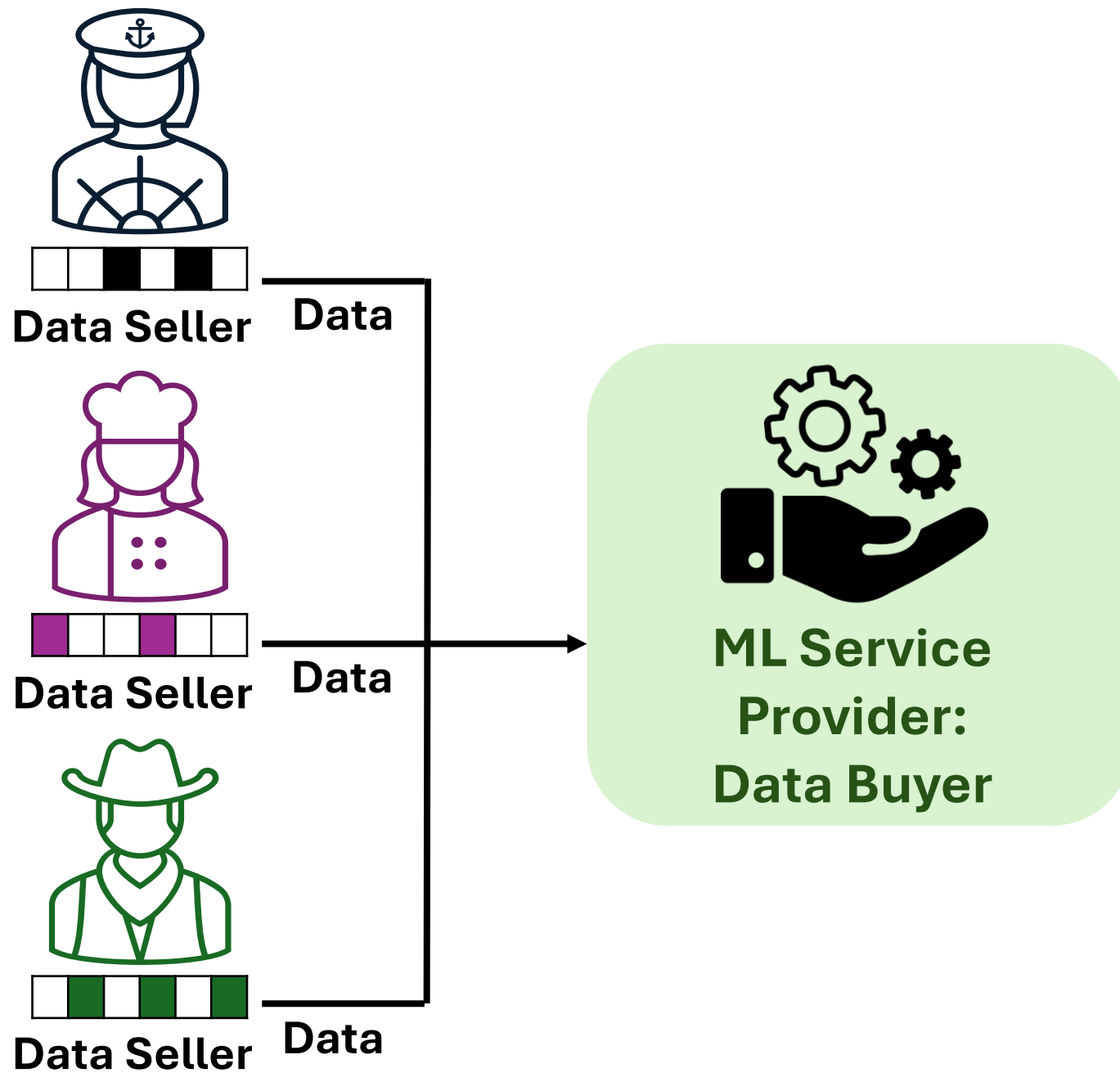
Research Question

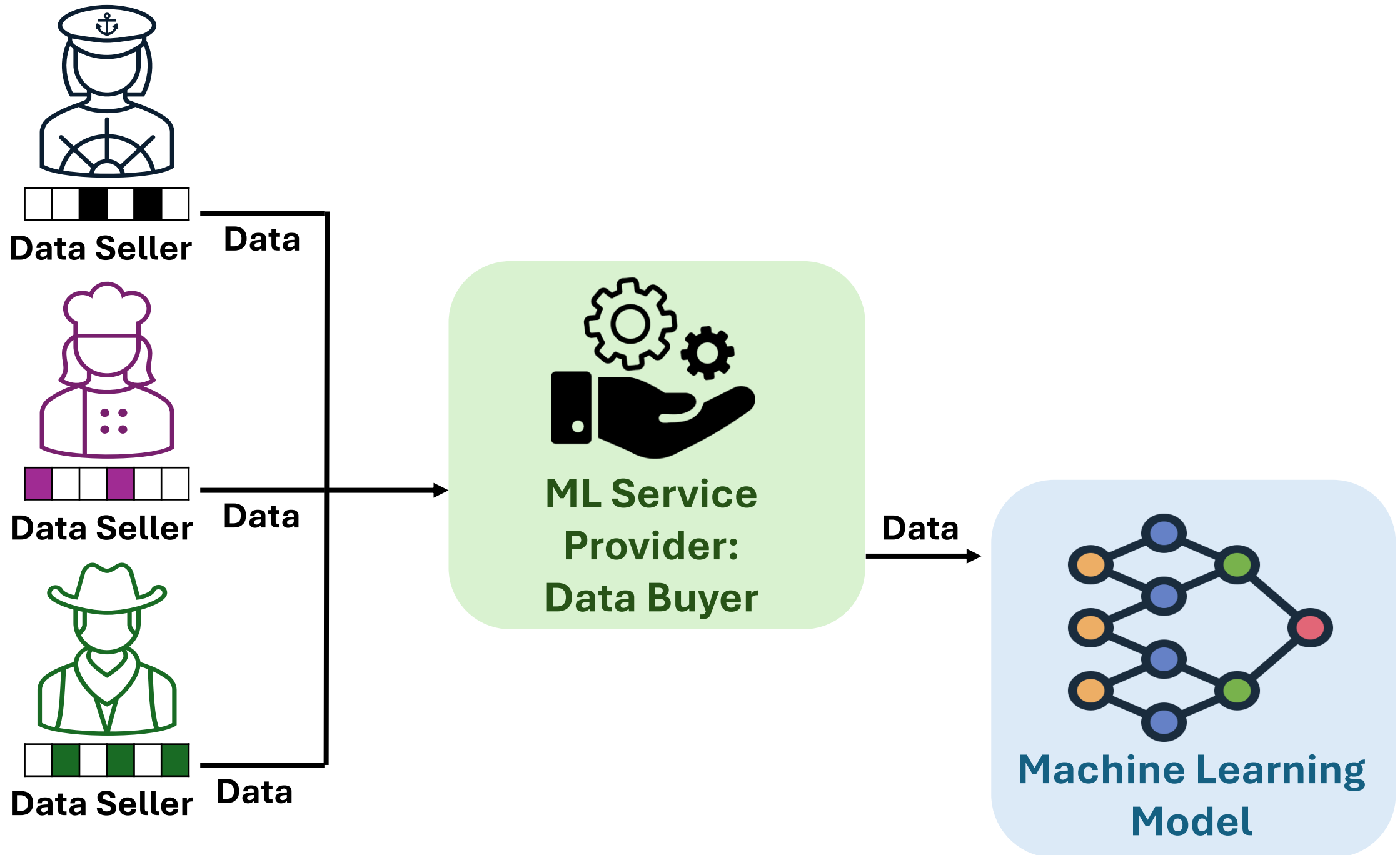
Can we effectively verify correctness of
released attribution scores using a
small number of training runs?

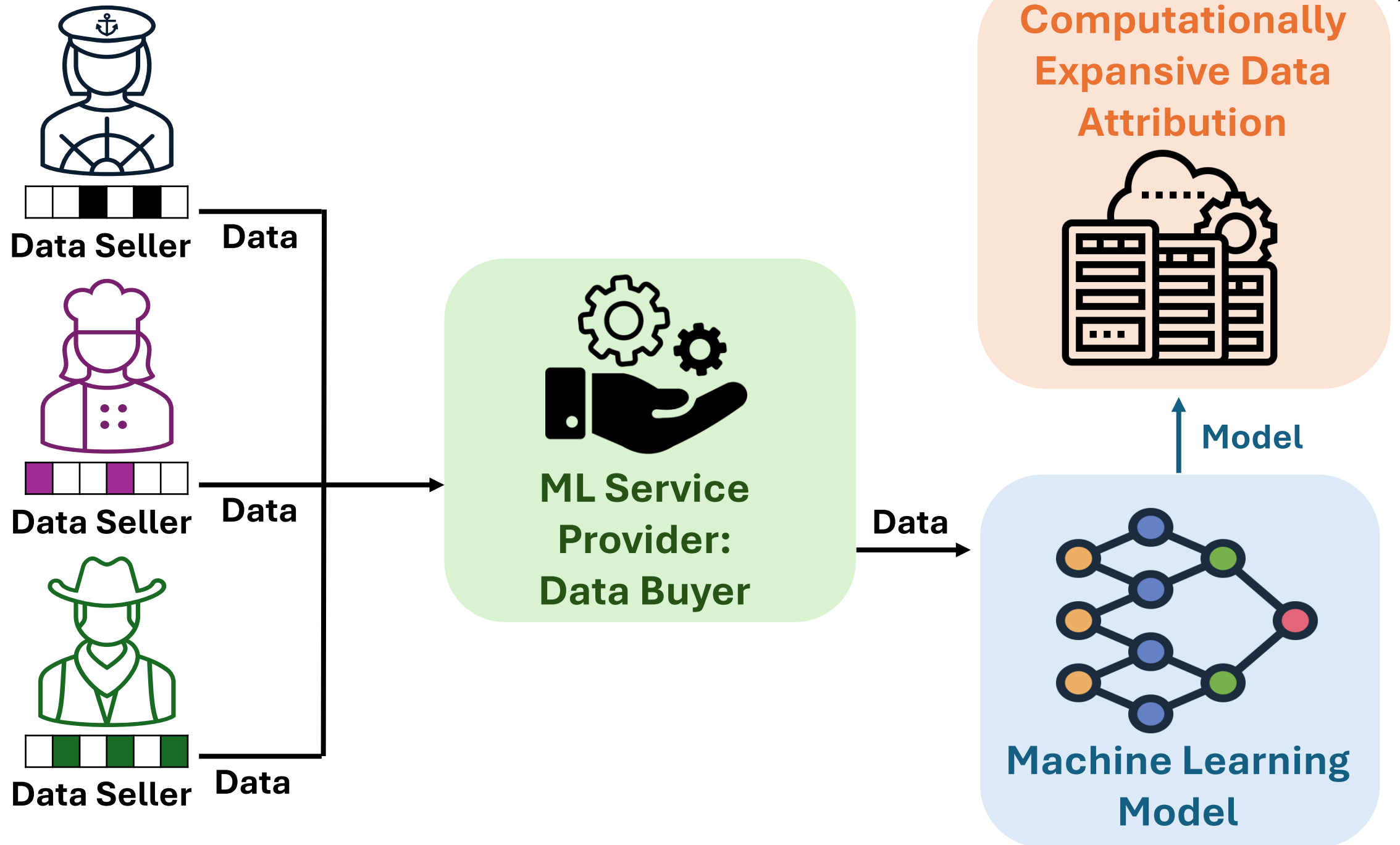
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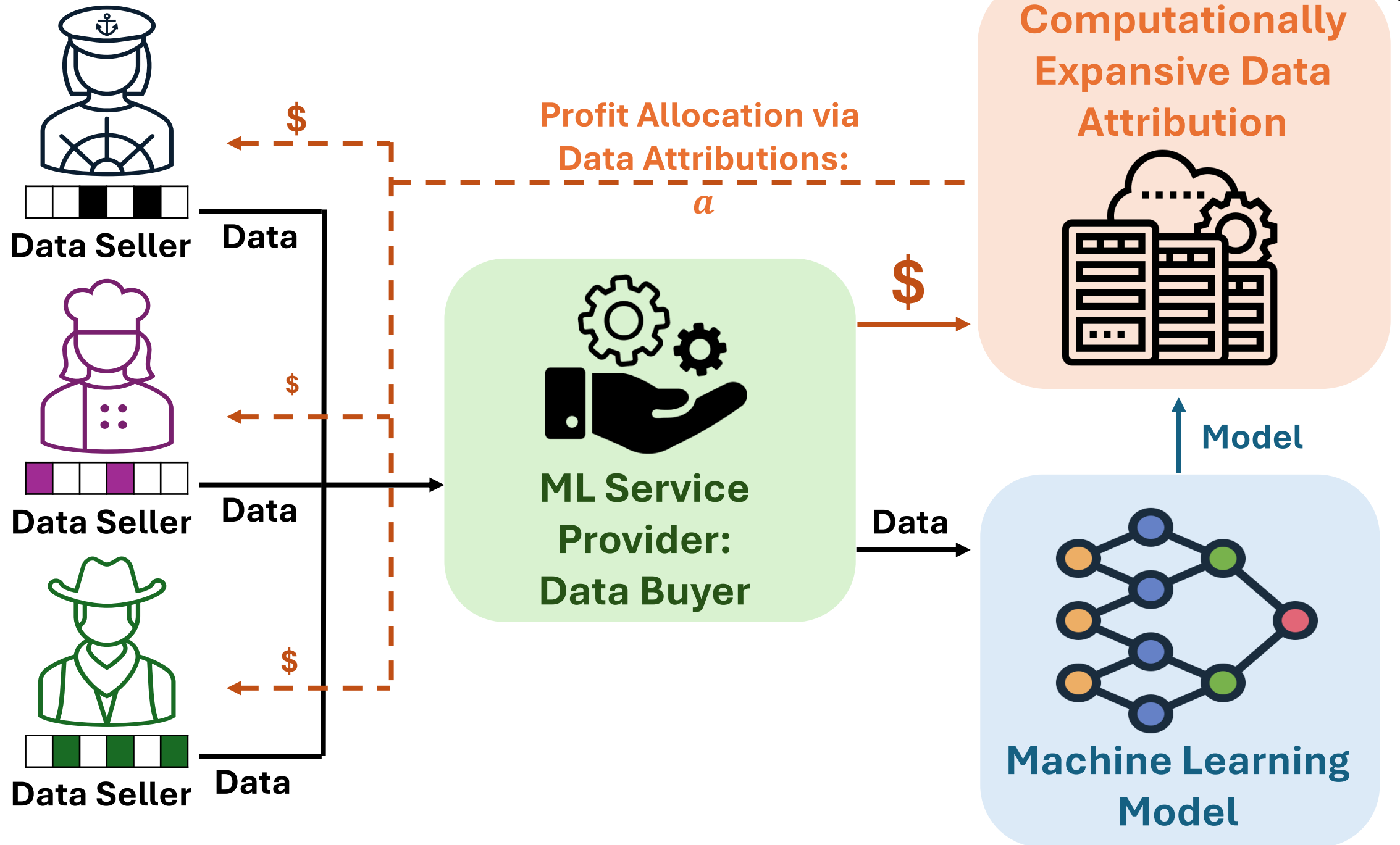
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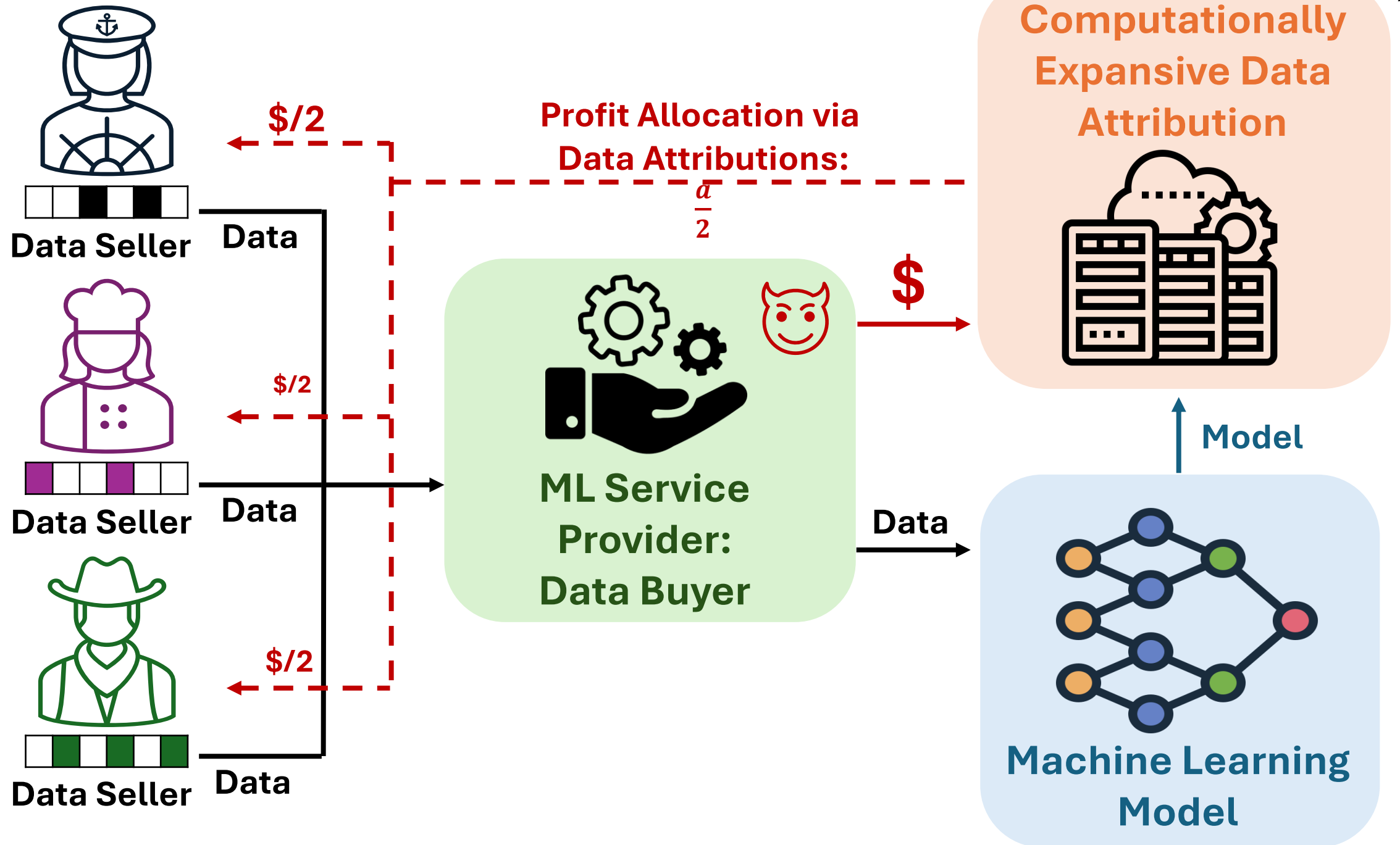
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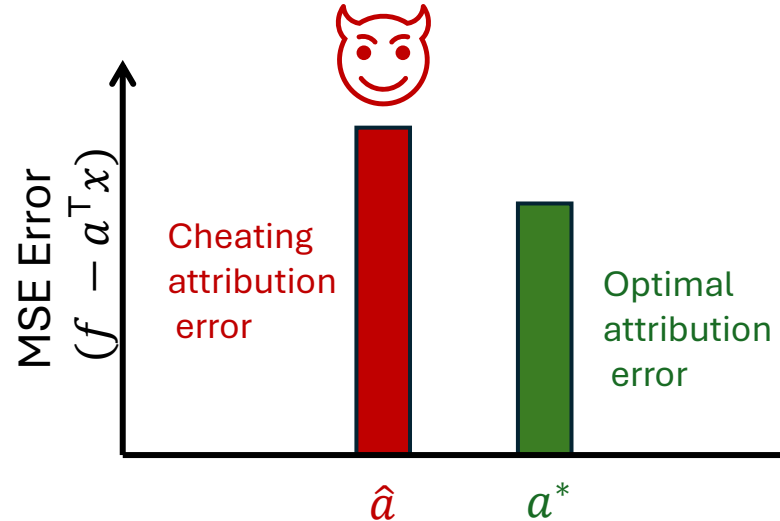


Why Naive Verification Fails?

- A naive data seller might just check if attribution \hat{a} has low error
- „Good enough“ isn't good enough! Checking if attribution has low error can easily be fooled.
- What if a malicious buyer computes $\hat{a} = \frac{a^*}{2}$. This has low MSE, but is far from optimal!

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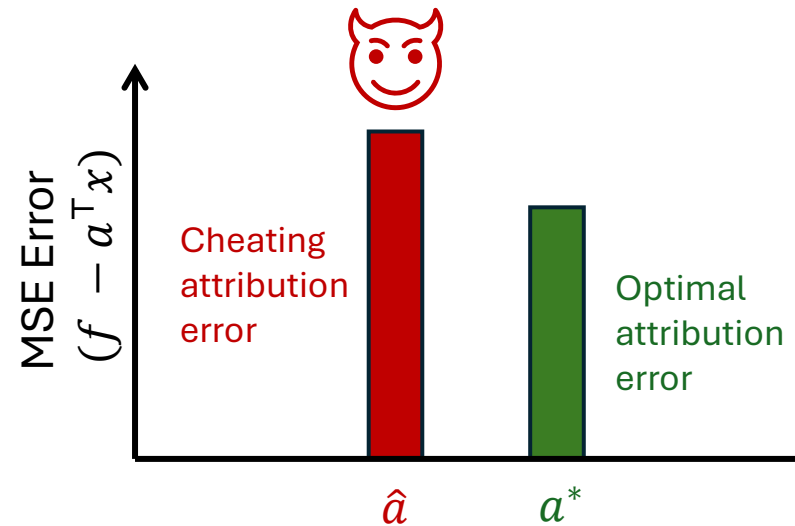


Verifying Near-Optimality

Check if the ML providers's answer is close to best possible answer

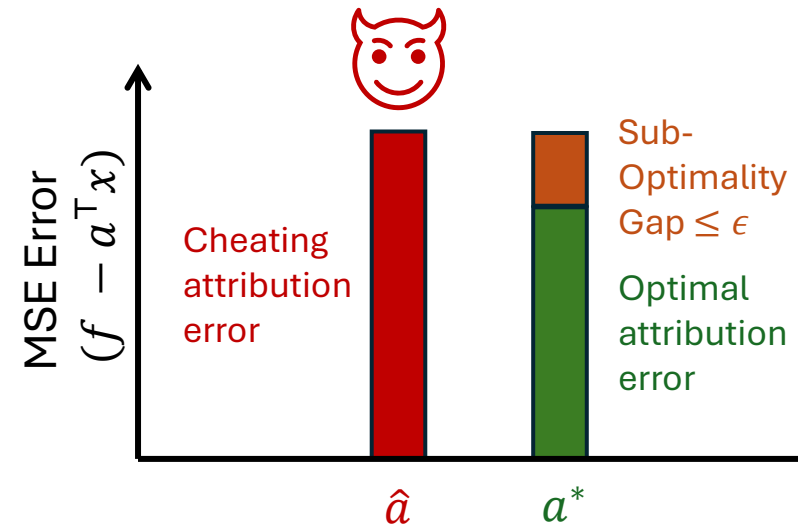
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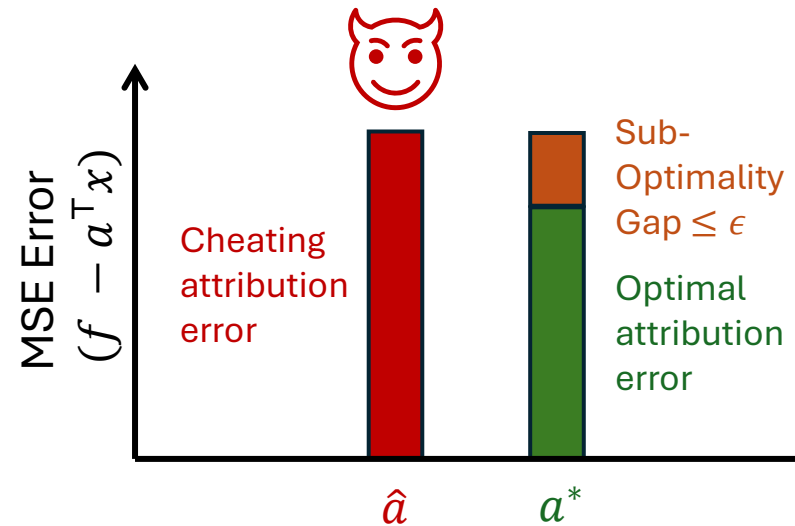


Verifying Near-Optimality

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Sub-Optimality Gap

$$\underbrace{MSE(f, \hat{a}^T x)}_{\text{Task 1}} - \underbrace{MSE(f, a^{*T} x)}_{\text{Task 2}} \leq \epsilon$$

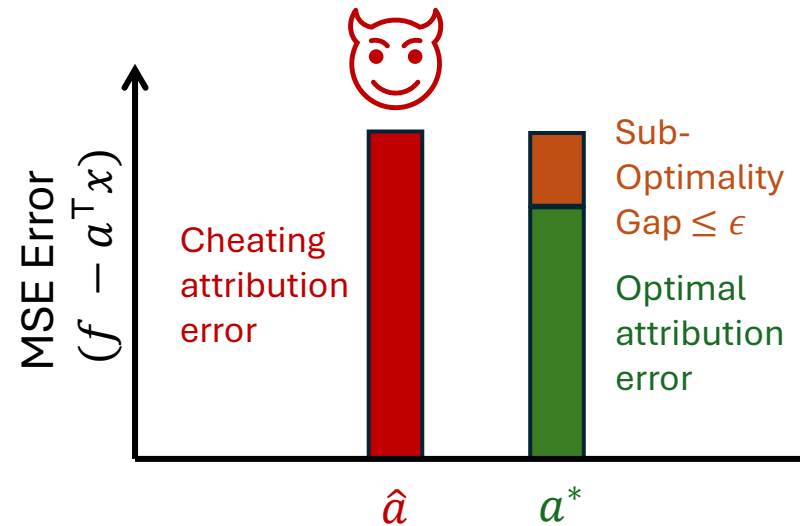


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$$\underbrace{MSE(f, \hat{a}^\top x)}_{\text{Task 1}} - \underbrace{MSE(f, a^{*\top} x)}_{\text{Task 2}} \leq \epsilon$$



How can the data seller measure this gap without being powerful enough to compute optimal a^* ?

The Rules of the Game

Prover (P)



Computationally powerful,
provides the attribution scores.

The Rules of the Game

Prover (P)



Computationally powerful,
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Verifier (V)



Resource-constrained,
wants to check **P**'s work.

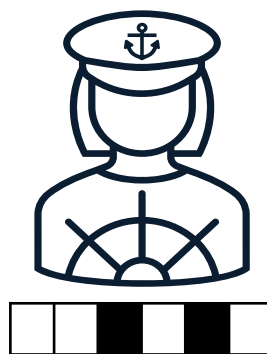
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Data Seller

Resource-constrained,
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Good Protocol

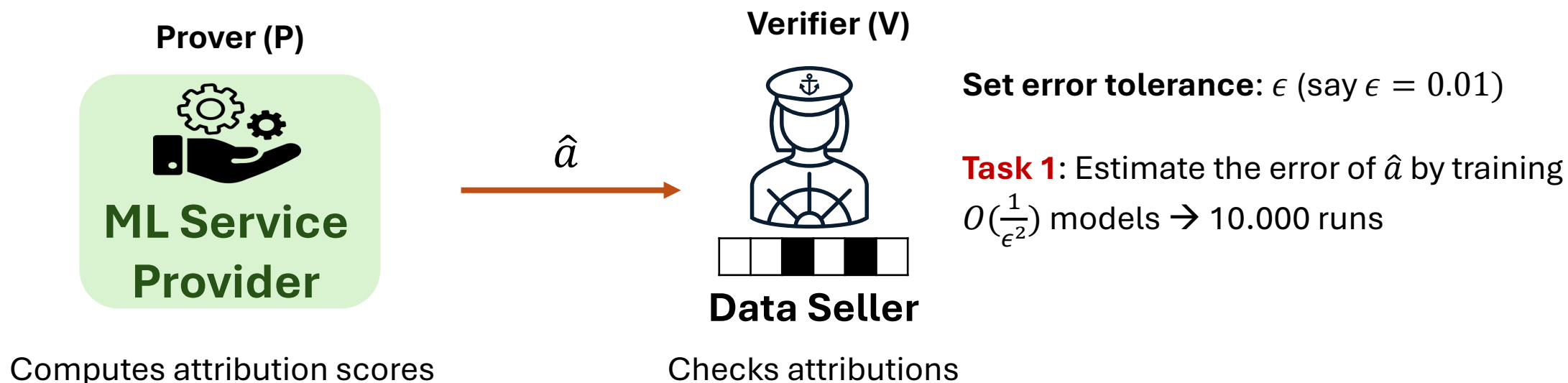
✓ **Completeness:** If everyone is honest, **Verifier** accepts the correct answer.

🛡️ **Soundness:** If the **Prover** cheats, the **Verifier** either detects it and aborts, or still gets a correct answer.

⚡ **Efficiency:** The **Verifier**'s work is cheap and, crucially, independent of the dataset size N .

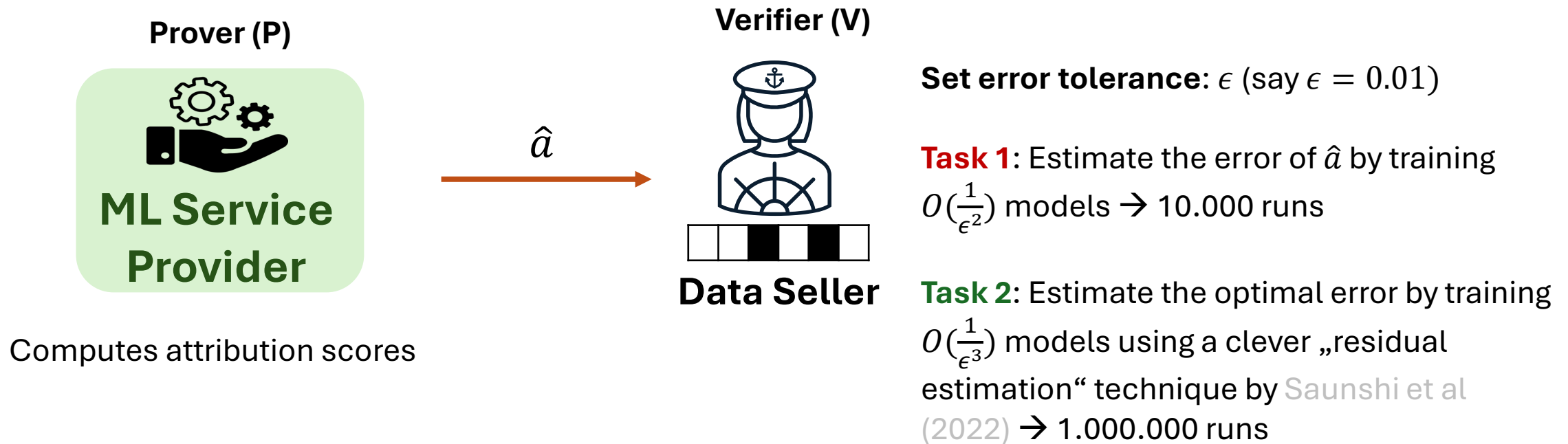
The Non-Interactive Protocol

The Verifier can check for near-optimality himself, but it's slow



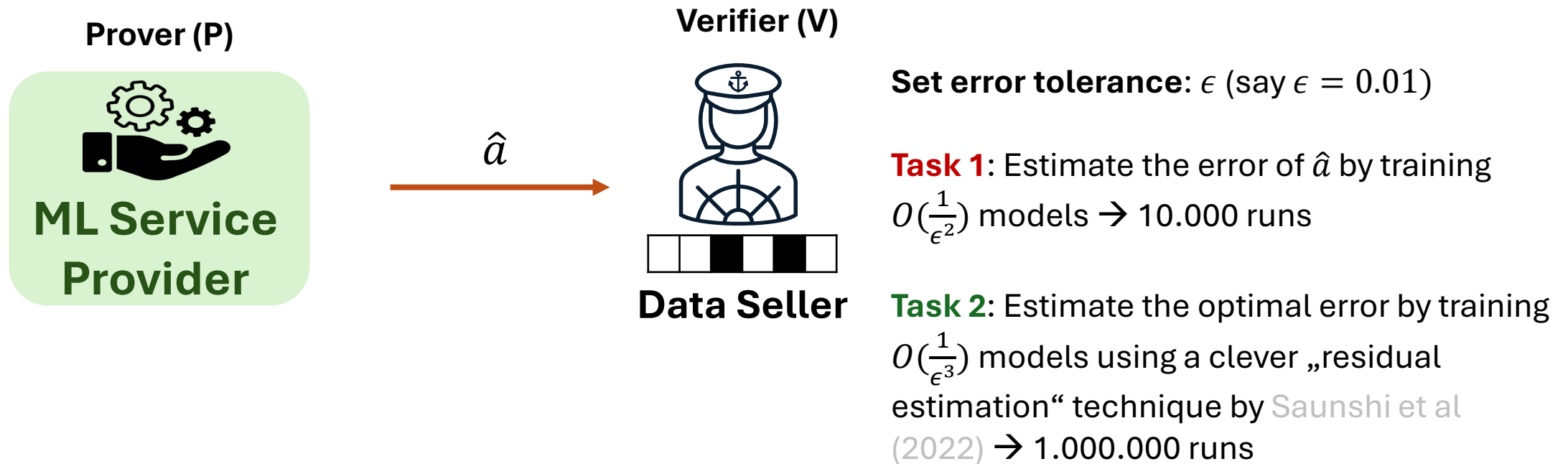
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The Non-Interactive Protocol

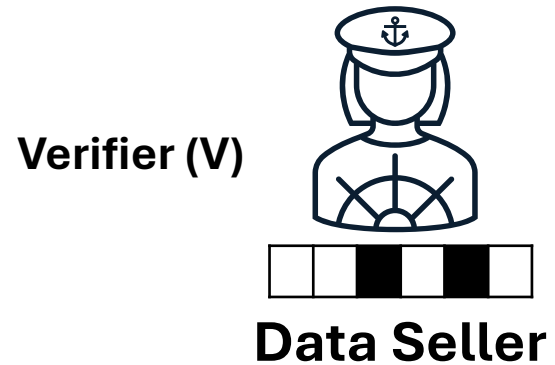
The Verifier can check for near-optimality himself, but it's slow



The Verifier's total cost is dominated by the expensive task 2:

Total # of training runs on the order of $O(\frac{1}{\epsilon^3})$

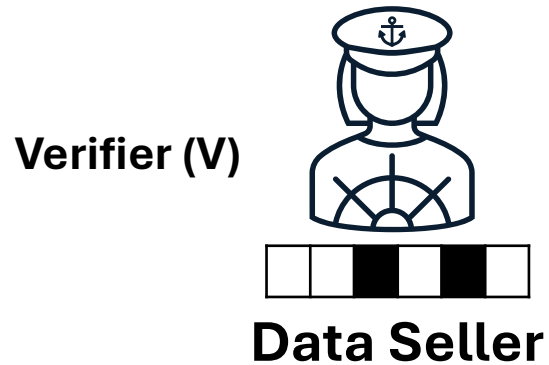
The Interactive Protocol



Set error tolerance: ϵ

Flag small random subset of
size $O(\frac{1}{\epsilon^2})$ as spot checks

The Interactive Protocol



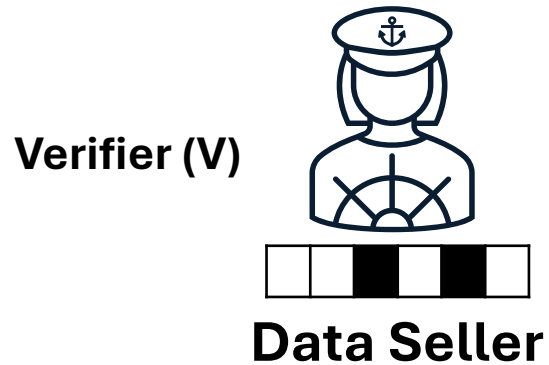
Set error tolerance: ϵ

Flag small random subset of size $O(\frac{1}{\epsilon^2})$ as spot checks

Challenge

Task 2: Estimate the optimal error by training models on the order of $O(\frac{1}{\epsilon^3})$ using the clever „residual estimation“ technique

The Interactive Protocol



Set error tolerance: ϵ

Flag small random subset of size $O(\frac{1}{\epsilon^2})$ as spot checks

Do $O(\frac{1}{\epsilon^2})$ spot checks.
If any do not match, **abort**

Task 1: Run consistency check.

Challenge

Task 2: Estimate the optimal error by training models on the order of $O(\frac{1}{\epsilon^3})$ using the clever „residual estimation“ technique

Response

Conclusion

- Verifying computationally expensive data attributions is a key challenge for building trust between Data Buyers & Data Sellers
- We need a protocol that is **correct** (completeness & soundness) and **efficient** for the resource-constrained Data Buyer
- We suggested a simple two-message **interactive protocol** with a **spot-checking** mechanism
- Approach **offloads heavy computational burden to the Data Buyer** while maintaining strong guarantees
- Interaction makes things efficient!